


# Findability of UK health datasets available for research: a mixed methods study

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## ABSTRACT

**Objective** How health researchers find secondary data to analyse is unclear. We sought to describe the approaches that UK organisations take to help researchers find data and to assess the findability of health data that are available for research.

**Methods** We surveyed established organisations about how they make data findable. We derived measures of findability based on the first element of the FAIR principles (Findable, Accessible, Interoperable, Reproducible). We applied these to 13 UK health datasets and measured their findability via two major internet search engines in 2018 and repeated in 2021.

**Results** Among 12 survey respondents, 11 indicated that they made metadata publicly available. Respondents said internet presence was important for findability, but that this needed improvement. In 2018, 8 out of 13 datasets were listed in the top 100 search results of 10 searches repeated on both search engines, while the remaining 5 were found one click away from those search results. In 2021, this had reduced to seven datasets directly listed and one dataset one click away. In 2021, Google Dataset Search had become available, which listed 3 of the 13 datasets within the top 100 search results.

**Discussion** Measuring findability via online search engines is one method for evaluating efforts to improve findability. Findability could perhaps be improved with catalogues that have greater inclusion of datasets, field-level metadata and persistent identifiers.

**Conclusion** UK organisations recognised the importance of the internet for finding data for research. However, health datasets available for research were no more findable in 2021 than in 2018.

## INTRODUCTION

With 65 million people, a single payer health system, a unique identifier for its citizens' health data, and long-standing population-wide electronic health records (EHRs), the UK is uniquely placed to harness insights from routinely collected health data. UK primary care has been an early adopter of information technology, with most practices computerising prescribing and clinical record keeping over the past 20 years.

## Summary

### What is already known?

- ▶ Science benefits hugely from the sharing and reuse of datasets.
- ▶ There are many barriers to reuse, one of which is researchers not knowing what datasets already exist that may be relevant to their analysis.

### What does this paper add?

- ▶ Organisations say that they want to make datasets more findable online, but that the time and personnel to achieve this is often lacking.
- ▶ We assess findability of UK health datasets in online searches.
- ▶ We found that this aspect of findability is no better in 2021 than it was in 2018.
- ▶ Online catalogues of health data rarely include identifiers that would enable proper referencing or field level metadata to indicate suitability for reanalysis.

EHRs are collected routinely as part of direct care in the National Health Service (NHS), with tens of millions of records in existing 'e-cohorts' based on geography or diagnosis.<sup>1-4</sup> An e-cohort can enable researchers to 'investigate the broadest possible range of social and environmental determinants of health and social outcomes by exploiting the potential of routinely collected datasets'.<sup>5</sup> Some e-cohorts thus include other detailed data, for example, the Wales E-Cohort for Children includes educational attainment.<sup>6</sup> There is an ambition to sequence 5 million NHS patients' genomes.<sup>7</sup> Reuse of such data is advancing research, from disease aetiology to drug discovery, translational research and public health. There is a drive across many fields towards the sharing and reuse of health data.<sup>8,9</sup>

Apart from several long-standing and widely used national e-cohorts, for example, the Clinical Practice Research Datalink (CPRD),<sup>10,11</sup> there exist regional e-cohorts<sup>12-14</sup> that are known anecdotally to

researchers connected to data providers, but are less well known by the wider research community. Lack of familiarity with existing e-cohorts may reduce their utilisation for research, weaken transparency and replicability of research and lead to duplication of effort in generating new equivalent datasets.<sup>8 15</sup>

The FAIR principles<sup>16</sup> were developed to guide sharing of scientific data and maximise the discovery, evaluation and reuse of such data. These four principles state that published data should be findable, accessible, interoperable and reusable. This article focuses on the principle of findability. The FAIR principle of findability recommends that data (or metadata) should be:

- ▶ Assigned a unique and persistent identifier.
- ▶ Described by rich metadata which links explicitly to the data described.
- ▶ Indexed in a searchable resource.

This project aimed to describe the current findability of routinely collected e-cohorts from the UK to a person (a researcher or interested citizen) using internet search engines. Specific objectives were: (1) to identify current approaches and potential barriers to increasing findability by surveying established organisations that facilitate access to health data (including e-cohorts) for research, and (2) to assess the findability of a target list of e-cohorts directly through internet searches and indirectly

via online health data catalogues and see how findability changed between 2018 and 2021.

## METHODS

### Assessing approaches to findability at UK organisations supplying data to researchers

One route of access to routinely collected data for research is via organisations acting as data curators, providers, safe havens or research services. We wanted to understand what these organisations do to make their datasets findable and what obstacles they face in doing so. The datasets available may extend beyond health, but all are confidential datasets based in UK public sector organisations so findability practices should be transferable.

We conducted telephone surveys with staff from such organisations. We contacted the organisations with a participant information sheet via email, using publicly available contact information. These organisations were those of which the authors were aware, through their prior research or through participation in national initiatives such as the Farr Institute<sup>17</sup> or Safe Data Access Professionals.<sup>18</sup> As well as organisations specialising in health research, we included five that host other types of confidential data to understand their practices (eg, Her Majesty's Revenue and Customs (HMRC) Data Lab; see

**Table 1** List of public sector organisations that took part in the surveys

Repository	Description	URL
Health Data Finder for Research	Health data finder is a metadata catalogue aiming to inform potential users about health datasets that are available for use in research	<a href="http://www.hdf.nihr.ac.uk">www.hdf.nihr.ac.uk</a>
UK Data Service*	The UK Data Service enables access to a range of datasets, primarily in the field of social and economic research; funded by the Economic and Social Research Council (ESRC)	<a href="https://www.ukdataservice.ac.uk/">https://www.ukdataservice.ac.uk/</a>
Consumer Data Research Centre (CDRC)*	The CDRC enables access to routinely collected consumer data; funded by the ESRC	<a href="https://www.cdrc.ac.uk">https://www.cdrc.ac.uk</a>
Urban Big Data Centre (UBDC)*	The UBDC enables access to urban-related data; funded by the ESRC	<a href="https://www.ubdc.ac.uk">https://www.ubdc.ac.uk</a>
Administrative Data Research Network (ADRN)*	The ADRN was a service funded by the ESRC to enable secure access to datasets	<a href="https://adrn.ac.uk">https://adrn.ac.uk</a>
Electronic Data Research and Innovation Service (eDRIS)	eDRIS is a service coordinating access to the national Scottish health datasets	<a href="https://www.isdscotland.org/Products-and-Services/eDRIS">https://www.isdscotland.org/Products-and-Services/eDRIS</a>
Health Informatics Centre—Trusted Research Environment (University of Dundee)	A data safe haven run as part of the University of Dundee, affiliated with National Health Service (NHS) Tayside and NHS Fife; the service coordinates access to local health datasets	<a href="https://www.dundee.ac.uk/hic/hicsafehaven">https://www.dundee.ac.uk/hic/hicsafehaven</a>
NHS Greater Glasgow and Clyde Safe Haven	A data safe haven and data service coordinating access to local health datasets	<a href="https://www.nhsggc.org.uk/about-us/professional-support-sites/nhsggc-safe-haven">https://www.nhsggc.org.uk/about-us/professional-support-sites/nhsggc-safe-haven</a>
CALIBER (University College London)	A platform for sharing data and methodologies; linked primary care, secondary care (hospital admissions), mortality and cancer registry data	<a href="https://www.ucl.ac.uk/health-informatics/caliber">https://www.ucl.ac.uk/health-informatics/caliber</a>
Her Majesty's Revenue and Customs (HMRC) Data Lab*	A service providing secure access to deidentified HMRC data	<a href="https://www.gov.uk/government/organisations/hm-revenue-customs/about/research#the-hmrc-datalab">https://www.gov.uk/government/organisations/hm-revenue-customs/about/research#the-hmrc-datalab</a>
Connected Health Cities (CHC) North East and North Cumbria	CHC is a programme in the North of England which aims to use local health data and technology to improve health services; North East and North Cumbria are developing infrastructure to connect local hospitals with their trustworthy research environment—this will include development of a metadata catalogue	<a href="https://www.connectedhealthcities.org/connected-health-cities/cumbria-and-north-east-england">https://www.connectedhealthcities.org/connected-health-cities/cumbria-and-north-east-england</a>
CHC Connected Yorkshire	Connected Yorkshire is based across Leeds, Sheffield and Bradford and works with the established Born in Bradford cohort; the dataset information described in this paper relates to the Born in Bradford study	<a href="https://www.connectedhealthcities.org/connected-health-cities/yorkshire-humber">https://www.connectedhealthcities.org/connected-health-cities/yorkshire-humber</a>

\*Not primarily health organisations.

asterisks in [table 1](#)). Up to two follow-up emails were sent to centres that did not initially respond.

Semistructured telephone surveys were conducted by RMJ and EG in April and May 2018 and focused on how organisations currently make their data findable, future plans to increase findability and any barriers to making data more findable. The HMRC Data Lab responded via email. An interview data collection sheet was developed from discussion among coauthors based on a preliminary interview with Electronic Data Research and Innovation Service conducted jointly by RMJ and EG. Notes were taken by RMJ or EG during each survey. Results were compiled by summarising and counting responses.

### Assessing findability of e-cohorts for health research

We used several approaches to explore findability of e-cohorts from the perspective of health researchers. First, we quantified how frequently e-cohorts appeared in a series of internet searches. Second, we searched the health data catalogues for the prespecified e-cohorts, and for those e-cohorts that were present in the health data catalogues, we assessed whether the e-cohorts met the FAIR criteria of having rich metadata and a persistent identifier.

We aimed to replicate searches that might be carried out by a researcher trying to find data for their research or a member of the public curious about routine health information that is used in research. The study team, which has significant experience of research with health data and was involved in national initiatives such as the Farr Institute<sup>17</sup> and Health Data Research UK,<sup>19</sup> compiled a list of UK health-related e-cohorts known to them, without consulting the internet. This list served as targets for our searches ([table 2](#)), including well-known national datasets (eg, CPRD) and smaller, regional datasets of which the team had prior knowledge. The list also contained a number of data organisations, which provide access to e-cohorts.<sup>20</sup> Two kinds of search were performed to try to find these datasets.

### Search using general internet searches

Search engines Google and Bing were searched separately in March 2018 (by EG and RMJ) and May 2021 (by EG and GT) using each of the following terms: health data research; acute care research datasets; community care research datasets; electronic health records; health datasets; health records research; hospital research datasets; primary care research datasets; secondary care research datasets and tertiary care research datasets ([figure 1](#)).

We used plain text search terms (no wildcards) to replicate simple searches the way someone might initially explore the public internet for relevant websites. We avoided terms such as ‘case control study’ or ‘clinical cohort’ as these relate to particular study designs, whereas we wanted to find routinely collected datasets. We wanted to replicate a well-motivated search and give a good chance of finding relevant results so we reviewed multiple pages of search results up to the hundredth listing. Search results were screened for reference to the target datasets

([figure 1](#), step 1b). These references were either direct (the search result was itself the target’s website) or indirect (a link in the search result led to the target).

### Search using research data catalogues

To identify existing catalogues of UK health data, Google was searched using the terms ‘health data catalogue’ or ‘research data catalogue’ (omitting the quotation marks). The first 100 search results were screened for our targets ([figure 1](#), step 2b).

### Search using Google dataset search engine

After our 2018 searches were conducted, a new search engine was available from Google dedicated to finding datasets. In 2021, two authors (GT and EG) each searched for our 10 search terms in Google Dataset Search and reviewed the top 100 search results for our 13 target datasets.

Findability was assessed according to the following criteria:

1. Was a direct link found from Google or Bing searches?
2. Was there any indirect link to the e-cohort from the Google/Bing search results which might prompt a researcher to investigate further?
3. Was the e-cohort listed in one of the catalogues that were found by searching the internet for health data catalogues? If so, as defined by the FAIR principles, what depth of metadata were available and was there a persistent identifier?<sup>20</sup>

### Data sharing

We have made data freely available online on Mendeley and Figshare including survey participant information sheet and summary notes (<https://data.mendeley.com/datasets/j49bgj7nmn/1>), 2018 internet search results (<https://data.mendeley.com/datasets/fp9mpj3t9r/1>) and 2021 search results and protocol (<https://doi.org/10.48420/14791590>). Original survey notes have not been shared to protect respondent confidentiality.

## RESULTS

### Survey findings: current practice as reported by established organisations

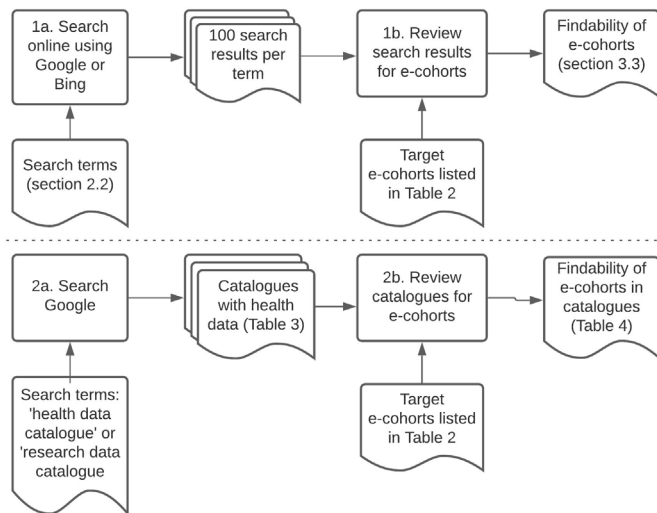
Of the 18 centres contacted, 12 agreed to be surveyed ([table 1](#)) and 6 did not respond. Of the 12 organisations that responded to the survey, 11 reported to share public-facing information about the available datasets (for Connected Health Cities North East and North Cumbria, a catalogue was under development at the time of the interview, now available at <https://github.com/connectdhealthcities/nenc-chc>). Some had different levels of access where more sensitive information was restricted to an approved audience. Metadata were provided in various ways, including through interactive catalogues (based on a number of software packages), static websites, PDFs and Excel files. The UK Data Service, Consumer Data Research Centre and Administrative Data Research Network used the DDI (Data Documentation Initiative) metadata standard<sup>21</sup> to describe the datasets. The other

**Table 2** Description of target UK e-cohorts assessed for findability in direct and indirect searches

E-cohort	URL	Responsible organisation	Description	Number of 2018 search results: direct (indirect)	Number of 2021 search results: direct (indirect)
Clinical Practice Research Datalink	<a href="https://www.cprd.com/">https://www.cprd.com/</a>	MHRA (Medicines and Healthcare Regulatory Agency)/National Institute for Health Research	Primary care research dataset with linkage to additional datasets	Bing 9 (1) Google 1 (3)	Bing 14 (8) Google 13 (33)
The Health Improvement Network	<a href="https://www.cegedim-health-data.com/cegedim-health-data/trin-the-health-improvement-network">https://www.cegedim-health-data.com/cegedim-health-data/trin-the-health-improvement-network</a>	Cegedim*	Primary care research dataset	Bing 3 (0) Google 0 (4)	Bing 1 (2) Google 1 (13)
QResearch	<a href="https://www.qresearch.org/">https://www.qresearch.org/</a>	The University of Oxford; EMIS (Egton Medical Information Systems)*	Primary care research dataset	Bing 5 (0) Google 1 (4)	Bing 0 (3) Google 2 (15)
ResearchOne	<a href="http://www.researchone.org/">http://www.researchone.org/</a>	TPP SystemOne*	Primary care research dataset	Bing 4 (0) Google 1 (1)	Bing 0 (1) Google 4 (6)
Consultations in Primary Care Archive	<a href="https://www.keele.ac.uk/mrr/cipcadatabase/">https://www.keele.ac.uk/mrr/cipcadatabase/</a>	Keele University	Primary care research dataset	Bing 0 (1) Google 0 (1)	Bing 0 (0) Google 0 (0)
Hospital Episode Statistics	<a href="https://digital.nhs.uk/data-and-information/data-tools-and-services/data-services/hospital-episode-statistics">https://digital.nhs.uk/data-and-information/data-tools-and-services/data-services/hospital-episode-statistics</a>	National Health Service (NHS) Digital	Secondary care dataset	Bing 7 (3) Google 0 (4)	Bing 6 (3) Google 1 (8)
Salford Integrated Record	<a href="http://www.salfordccg.nhs.uk/download.cfm?doc=docm93jjjm4n524.pdf&amp;ver=680">http://www.salfordccg.nhs.uk/download.cfm?doc=docm93jjjm4n524.pdf&amp;ver=680</a>	Salford Royal NHS Foundation Trust	Integrated primary and secondary care dataset	Bing 0 (0) Google 0 (1)	Bing 0 (0) Google 0 (0)
Prescribing Information System	<a href="https://www.ndc.scot.nhs.uk/National-Datasets/data.asp?SubID=102">https://www.ndc.scot.nhs.uk/National-Datasets/data.asp?SubID=102</a>	NHS Scotland	National prescribing dataset	Bing 0 (1) Google 0 (1)	Bing 0 (1) Google 0 (0)
SAIL databank	<a href="https://saildatabank.com">https://saildatabank.com</a>	Swansea University; NHS Wales; Health and Care Research Wales	Linked health and other routinely collected datasets	Bing 4 (0) Google 1 (6)	Bing 1 (6) Google 3 (11)
NHS Lothian Research Safe Haven/The University of Edinburgh	<a href="https://www.accord.scot.nhs.uk/researcher-access-research-data-nrs-safe-haven/safe-haven-network">https://www.accord.scot.nhs.uk/researcher-access-research-data-nrs-safe-haven/safe-haven-network</a>	NHS Lothian, University of Edinburgh, Edinburgh Napier University, Queen Margaret University	Service coordinating access to linked health datasets across the Lothian region, Scotland	Bing 0 (2) Google 1 (1)	Bing 0 (0) Google 0 (0)
Grampian Data Safe Haven	<a href="https://www.abdn.ac.uk/iahs/facilities/grampian-data-safe-haven.php">https://www.abdn.ac.uk/iahs/facilities/grampian-data-safe-haven.php</a>	NHS Grampian and the University of Aberdeen	Service coordinating access to linked health datasets across the Grampian region, Scotland	Bing 0 (1) Google 1 (2)	Bing 0 (0) Google 0 (0)
Health Informatics Centre—Trusted Research Environment (University of Dundee)	<a href="https://www.dundee.ac.uk/hic/hicsafehaven">https://www.dundee.ac.uk/hic/hicsafehaven</a>	University of Dundee	Service coordinating access to linked health datasets across the Tayside region, Scotland	Bing 2 (1) Google 2 (1)	Bing 1 (0) Google 0 (0)
NHS Greater Glasgow and Clyde Safe Haven	<a href="https://www.nhs.gov.uk/about-us/professional-support-sites/nhs-ggc-safe-haven">https://www.nhs.gov.uk/about-us/professional-support-sites/nhs-ggc-safe-haven</a>	NHS Greater Glasgow and Clyde and the Robertson Centre for Biostatistics, University of Glasgow	Service coordinating access to linked health datasets across the Greater Glasgow and Clyde region, Scotland	Bing 2 (2) Google 1 (1)	Bing 0 (0) Google 0 (0)

Most of the organisations are public sector.

\*Commercial organisations.



**Figure 1** Internet search process—looking for health datasets via two popular, general search engines (1) and via catalogues (2).

nine organisations did not use a standard metadata schema.

Respondents talked about many other means of increasing findability, including using social media, newsletters, scientific articles and conference presentations to publicise their datasets. They were also interested in finding out what researchers wanted; three used Google Analytics to understand what people were looking for and others described discussions with researchers to better understand their needs. One organisation described a more proactive approach, using calls for expressions of interest to find and support researchers interested in using their data. For further details on approaches to findability, see the supplementary files available on Mendeley Data (<https://doi.org/10.17632/j49bgj7nmn.1>).

### Perceived challenges to findability according to established organisations

Respondents were also asked for perceived barriers to data findability. This prompted a broad range of responses, which are summarised below and detailed in the supplementary files available on Mendeley Data (<https://doi.org/10.17632/j49bgj7nmn.1>). Issues include: datasets submitted with poor quality metadata, no widely adopted metadata standards or cataloguing technologies. Shortages in expertise and time were also cited, as was the view that data providers and funders did not prioritise curation of metadata and that the role of data curators is underappreciated. Many respondents recognised that more support was needed to curate good quality metadata. The challenges of dealing with the inherent variability of routinely collected health data for both curators and researchers and lack of appropriate metadata standards for health data were also raised.

When asked about plans to improve findability, respondents covered topics as diverse as making better use of existing web tools (cited most often), improving metadata quality, offering more support to research

users and overlapping with other developments in the repository operations such as data linkage or migration (cited least often). Some organisations reported actively exploring new tools to replace their existing catalogues. Respondents highlighted that a good catalogue needs to contain entries for a wide range of datasets and have a usable search tool, developed with an understanding of researchers' needs.

### Findability of target e-cohorts and data organisations using general internet search engines

Internet searches in 2018 found direct links to the websites of 8 of the 13 target e-cohorts listed in [table 2](#). When clicking on links within each search result, all 13 targets were indirectly findable. For further details see the supplementary files available on Mendeley Data (<https://doi.org/10.17632/fp9mpj3t9r.1>).

In 2021, there were direct links to 7 of the 13 target e-cohorts listed in [table 2](#), but when clicking on links within each search result 8 were indirectly findable. See supplementary files available on Figshare (<https://doi.org/10.48420/14791590>).

### Findability of target e-cohorts and data organisations using health data catalogues

In 2018 we identified nine catalogues of UK-based e-cohorts through internet searches ([table 3](#)). Six catalogues referred to 1 or more of the 13 target e-cohorts listed in [table 2](#), while 3 catalogues did not reference any of the targets. In 2021 two of those nine catalogues were inaccessible, and, among the remaining seven catalogues, one listed more target e-cohorts (from one in 2018 to four in 2021).

In 2018 all the catalogues included dataset-level metadata (descriptive, structural or administrative metadata about the dataset). The Health Data Finder, particular entries in the NHS England Data Catalogue, the Perinatal Mental Health (published by Public Health England) and Social Services Improvement Agency Data Catalogue had field-level metadata (descriptive, structural or administrative metadata held at the level of individual fields). None of the catalogues attached DOIs to their entries. The results are summarised in [table 4](#). In 2021, among the seven catalogues still accessible, their findability in terms of metadata detail and identifiers was unchanged. Nine additional catalogues were found in the searches in 2021, seven of which included persistent identifiers but not always field level metadata and only two included target e-cohorts.

### Findability of target e-cohorts and data organisations in 2021 using Google dataset search

Using the Google dataset search, all but 1 of our 10 searches produced over 100 results (searching for 'tertiary care research datasets' only produced 30 results). Among all available search results up to 100, 3 of the 13 target datasets were found once (HES, CPRD and SAIL).

**Table 3** Catalogues of UK-based e-cohorts found through general internet search engines in 2018 and the number of target e-cohorts within them in 2018 and 2021

Catalogue	Web link (correct in March 2018 at the time of searching)	Number of targets found (2018)	Number of targets found (2021)
Health Data Finder for Research	<a href="http://www.hdf.nihr.ac.uk/">http://www.hdf.nihr.ac.uk/</a>	2	NA
Children and young people's health data catalogue 2009	<a href="http://www.childhealthresearch.eu/research/add-knowledge/Health/Data/Catalogue__2.pdf/at_download/file">http://www.childhealthresearch.eu/research/add-knowledge/Health/Data/Catalogue__2.pdf/at_download/file</a>	0	NA
NHS Digital: Data and information	<a href="https://digital.nhs.uk/data-and-information/">https://digital.nhs.uk/data-and-information/</a>	1	1
Perinatal mental health: national datasets	<a href="https://www.gov.uk/government/publications/perinatal-mental-health-national-datasets">https://www.gov.uk/government/publications/perinatal-mental-health-national-datasets</a> (also linked to <a href="https://fingertips.phe.org.uk/profile-group/mental-health/profile/perinatal-mental-health">https://fingertips.phe.org.uk/profile-group/mental-health/profile/perinatal-mental-health</a> )	1	1
NHS England Data Catalogue	<a href="https://data.england.nhs.uk/dataset">https://data.england.nhs.uk/dataset</a>	1	1
National Data Catalogue Scotland	<a href="http://www.ndc.scot.nhs.uk/">http://www.ndc.scot.nhs.uk/</a>	1	1
Asthma UK Data Catalogue	<a href="https://www.aukcar.ac.uk/asthma-observatory/data-catalogue">https://www.aukcar.ac.uk/asthma-observatory/data-catalogue</a>	1	5
Urban Big Data Centre Health and social care data	<a href="http://ubdc.ac.uk/data-services/data-catalogue/health-and-social-care-data/">http://ubdc.ac.uk/data-services/data-catalogue/health-and-social-care-data/</a>	0	0
Social Services Improvement Agency Data Catalogue	<a href="http://www.dataunitwales.gov.uk/SharedFiles/Download.aspx?pageid=30&amp;mid=64&amp;fileid=22">http://www.dataunitwales.gov.uk/SharedFiles/Download.aspx?pageid=30&amp;mid=64&amp;fileid=22</a>	0	0

Catalogues no longer accessible in 2021 are marked as NA.

## DISCUSSION

We sought to understand how easily a person could discover e-cohorts from the UK via internet search engines. We used a telephone survey to understand how organisations try to make data findable and measured how findable e-cohorts were across two internet search engines. In our survey, findability was recognised as valuable, however those managing e-cohorts were still exploring how to harness the power of the internet to improve findability. Using internet search engines, we found a wide range of e-cohorts and catalogues, but between 2018 and 2021 neither the findability of target e-cohorts in the top 100 results nor in catalogues had improved. If anything, findability had decreased slightly. Target e-cohorts were less findable using a new, dedicated dataset search than a general internet search engine. While established national e-cohorts were found directly through search engines, several catalogues and smaller, local or specialist e-cohorts were only found indirectly through other webpages. A crucial factor appears to be the coverage of e-cohorts listed in catalogues or specialist search tools.

Many authors have argued for improved findability, but empirical studies to assess findability have been rare and have not previously been done for UK health data. In the FAIR principles,<sup>16</sup> findability requires that datasets have a globally unique and persistent identifier, are

described with rich metadata which explicitly include that identifier and are registered or indexed in a searchable web catalogue. In the UK, there have been government-commissioned reports into how FAIR research information is, which recognised the importance of a sector-specific approach but said little about health and did not measure findability.<sup>22</sup> Wilkinson *et al* proposed a set of metrics and a design framework for a FAIRness assessment<sup>23</sup> and this framework has been applied to omics data.<sup>24</sup> That assessment takes a machine-led approach, that is, whether a dataset is findable, accessible, interoperable and reusable without human intervention. We took an alternative starting point, assessing findability using the searches that might be carried out by a person trying to find e-cohorts. The importance of the public internet in providing search engines that index metadata to make data findable has been recognised,<sup>25</sup> although others have highlighted challenges to implementing the FAIR principles for online searches.<sup>26</sup> Such publications describe and debate what findability is or should be, but they do not offer an empirical assessment of findability and their claims that improving findability for machines will improve findability for humans are untested. A toolkit was published in 2019<sup>27</sup> that includes at least three metrics of whether or how easily datasets and other resources can be found using internet searches<sup>28</sup>; our methods fall in this vein. Looking back to just before our first online searches, a

**Table 4** Assessment of findability within catalogues, including whether the catalogue listed target e-cohorts from table 2 (see figure 1)

	Catalogue name	Target e-cohorts listed	Searchability	Metadata	Unique and persistent identifier
Found in 2018 but not in 2021	Health Data Finder for Research	Clinical Practice Research Datalink (CPRD) Hospital Episode Statistics (HES)	Can filter	Dataset and field level	No
	Children and young people's health data catalogue 2009	–	Downloadable file	Dataset level	No
Found in 2018 and 2021	NHS Digital: Data and information	HES	Search bar; Can filter	Dataset level	No
	NHS England Data Catalogue	HES	Search bar; Can filter	Dataset and field level	No
	Perinatal mental health: national datasets	HES	Downloadable file	Dataset and field level	No
	Asthma UK Data Catalogue	HES In addition in 2021: SAIL QRResearch Clinical Practice Research Datalink (CPRD) PIS	Search bar; Dropdown list	Dataset level	No
	Urban Big Data Centre Health and social care data	–	Dropdown list	Dataset level	No
	Social Services Improvement Agency Data Catalogue	–	Downloadable file	Dataset and field level	No
	National Data Catalogue Scotland	PIS	a-z listing	Dataset level	No
Not found in 2018, found in 2021	DataCat (University of Liverpool)	–	Search bar; Can filter	Dataset level	Yes
	ORDA (University of Sheffield)	–	Search bar; Can filter	Dataset level	Yes
	UK Data Archive	–	Search bar; Can filter	Dataset level	Yes
	University of Lancaster	–	Search bar; Can filter	Dataset level	Yes
	Mauro Data Mapper/ Oxford Metadata Catalogue	–	Dropdown list	Dataset and field level	Yes
	Zenodo	–	Search bar; Can filter	Dataset level	Yes
	Health Innovation Gateway	CPRD PIS SAIL HES Grampian	Search bar; Can filter; Dropdowns; Highlight new datasets	Dataset level	Yes
	Social Care Wales	–	Search bar; filter; show all	Dataset level	No
	ONS Secure Research Service	HES	Spreadsheet	Dataset level	No

For catalogues found in 2018, these were revisited in 2021; two were inaccessible, the other eight were unchanged in terms of metadata detail and presence of identifiers.

paper from 2016 envisaged a community to advance the FAIR principles (including searchability) in the life sciences,<sup>29</sup> and in 2017 researchers highlighted the need for better web-based identifiers for life sciences datasets<sup>30</sup> and for improved online discoverability and standardisation for UK health data.<sup>31</sup> Our 2021 results show many of those lessons still need to be heeded.

Our finding that some regional e-cohorts had by 2021 become less findable than national counterparts and

that some catalogues had become inaccessible has implications for those working to increase data findability. Community efforts and standardisation have been advocated by researchers as the best way to implement the FAIR principles.<sup>32</sup> One approach has been to collate metadata centrally, as was done recently for ophthalmology.<sup>33</sup> Centralised repositories and dedicated data search tools may be increasingly important for fostering findability as more and more datasets are described online, however

we found that not all available datasets are currently listed. Search engines, which are increasingly embedded into catalogues as well as being available for the general internet searches we conducted, enhance the findability of some datasets more than others. For example, CPRD was the most findable of our target e-cohorts in 2018 and 2021 and even increased its presence in search results, while some other target e-cohorts became less findable. As well as creating hubs, we suggest that the health data community also discusses variability in the findability of datasets and use benchmarks for online findability to assess progress.

A large effort as a result of the COVID-19 pandemic has given momentum to new findability tools, such as Health Data Research UK with their new catalogue: the Innovation Gateway.<sup>34</sup> COVID-19 data were listed in the catalogue and already found in our 2021 searches. The pace and scale of these developments, which are already producing research insights, are impressive. This may be helped by a more coordinated effort in the NHS under the UK government's data strategy.<sup>35</sup> Such efforts need continued support to enhance coverage, for example, to include more of our target e-cohorts or newer e-cohorts such as OpenSAFELY<sup>4</sup> and to boost metadata quality and accessibility.

Our work has some limitations. First, although we tried to contact as many organisations as possible across the UK, not all the ones we contacted were able to participate, and we may have missed some others. We can only speculate on how this has affected our results; it is possible that organisations that did not respond are stretched and chose to prioritise other work over our survey into findability. Second, our prior knowledge of the target e-cohorts probably made it easier for us to find them. Third, when screening search results, we reviewed 100 results per search (approximately 10 pages), two or three pages might be more realistic. We may therefore have overestimated the findability of UK e-cohorts. Fourth, the proprietary nature of search engines makes their operations unclear, for example, the consistency of the search rankings among different users<sup>36</sup> or how algorithms may have altered findability between 2018 and 2021. Google and Bing limit automated processing of their search tool<sup>26</sup> and manually checking 100 results per search was time intensive.

There are opportunities to extend our approach in further research. It would be useful to study how researchers find and access e-cohorts in practice. The use of wildcards to make searches more flexible, analysis of rankings and use of other search engines could be adopted in future. Comparison across organisations of the investment (time, money) and competencies of personnel working to make e-cohorts findable and accessible could reveal the most efficient methods to inform successful strategies for improving findability.

Based on our findings, we recommend that UK e-cohorts implement the following features to improve their findability: create a unique and persistent identifier, have

richer metadata descriptions and ensure they are indexed in a searchable resource either through search engine optimisation of their own website or through catalogues that are highly ranked by search engines.

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